

**Maintenance Therapy with Oral Etoposide following first-line
Docetaxel -Cisplatin Chemotherapy in Metastatic Non-Small Cell
Lung Cancer Patients**

Running title: Maintenance therapy of Non-small cell lung cancer

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Abstract

The present study aimed to evaluate the efficacy and safety of maintenance therapy with oral etoposide following first-line docetaxel-cisplatin chemotherapy in patients with metastatic non-small cell lung cancer. The overall response rate in the docetaxel-cisplatin phase was 30.4%, with complete response of 0, partial response of 28, stable disease of 39. Maintenance chemotherapy with oral etoposide was given in 67 patients. No complete response was observed, but there were 10 patients presented with partial response and 45 with stable disease. The median time to progression was 5.0 months; median overall survival time was 10 months and the 1-year survival rate was 41% during the maintenance therapy. Therapy with oral etoposide was well tolerated with the hematologic toxicities, fatigue and oral mucositis being the most frequent side effects. Docetaxel-cisplatin chemotherapy followed by maintenance therapy with oral etoposide was well tolerated and moderately active against metastatic non-small cell lung cancer.

Keywords: Non-small cell lung cancer; Maintenance therapy; Oral Etoposide; Docetaxel

Introduction

Lung cancer has been the most common cancer in terms of both incidence and mortality worldwide (Jemal et al., 2009). 70-75% of all lung cancers are non small-cell lung cancer (NSCLC) with two-thirds presenting with locally advanced or metastatic disease at diagnosis. Treatment for these patients includes chemotherapy, radiotherapy, molecular targeted therapy, and best supportive care. Etoposide, a derivative of podophyllotoxin, is an important chemotherapeutic agent that is a phase-specific, schedule-dependent semi-synthetic epipodophyllotoxin with modest activity against metastatic NSCLC when used alone (Pedersen and Hansen, 1983). Etoposide has well-known effectiveness in the NSCLC. It is possible that to exploit the 'continuing effect' of prolonged low dose oral etoposide could produce efficient anti-tumor effect. Oral agents with few side effects are appealing in the 2nd or 3rd line settings where patients are usually in a less favorable condition. Given the favorable efficacy of etoposide-cisplatin combination in concurrent chemotherapy /radiotherapy (Albain et al., 2002), maintenance therapy with oral etoposide prior to progression may improve therapeutic efficacy in patients with metastatic NSCLC in the absence of prior exposure to etoposide. We hypothesized that full dose platinum doublet administered at the beginning of the cycle could induce the tumor debulking, and subsequently a prolonged administration of low dose oral etoposide could produce a more efficient antitumor effect. In the present study, we investigated if oral etoposide for maintenance chemotherapy could improve the therapeutic efficacy following the standard induction therapy with platinum-based doublets (docetaxel-cisplatin; DC) in patients with advanced NSCLC.

Patients and methods

Subjects

The present study was to investigate the PFS of patients with metastatic NSCLC who received oral etoposide for maintenance chemotherapy plus BSC. These patients had no PD during the first-line 4 cycles of chemotherapy with DC, and to determine the OS, objective tumor response rate (OR) and toxicity of this regimen.

Patient Selection

Patients with histologically confirmed stage IV NSCLC were eligible for this study. Patients were required to have no prior chemotherapy or thoracic radiotherapy, but those experiencing post-operative recurrence were eligible. Additional inclusion criteria included a PS of 2 or less according to World Health Organization (WHO) criteria, 18-75 years or less, no brain metastasis, no other cancers, no interstitial pneumonitis, no severe cardiac diseases, no cirrhosis, adequate bone marrow reserve (white blood count $\geq 3 \times 10^9/L$, absolute neutrophil count $\geq 1.5 \times 10^9/L$, platelet count $\geq 100 \times 10^9/L$) and a creatinin clearance rate of >50 ml/min.

All eligible patients were evaluated before registration by physical examination, chest X-ray; computed tomography (CT) of the chest, upper abdomen and brain, abdominal ultrasonography, fiberoptic bronchoscopy followed by biopsies and detection of complete blood cell count and creatinine. Written informed consent was obtained from each patient before study.

Treatment

The eligible patients received two courses of chemotherapy: induction phase and maintenance phase. In the induction phase, patients received 4 cycles of induction chemotherapy with docetaxel and cisplatin at a 21-day cycle. The regimen consisted of docetaxel (Taxoteres, Aventis Pharma) at 75 mg/m^2 in 250 ml of 5% dextrose

administered intravenously over 1 h. This was followed by intravenous cisplatin at 75 mg/m² in 250 ml of normal saline over 30-60 min on day 1. The hydration schedule included 1-l normal saline with 20 mmol potassium chloride and 16 mmol magnesium sulfate given over 2 h before docetaxel infusion and 1.5 l 0.45% saline/2.5% dextrose over 12 h after cisplatin infusion on day 1. In addition, 180 ml of 20% mannitol was given over 2.5 h immediately before and after cisplatin infusion on day 1.

Chemotherapy was repeated every 3 weeks, unless otherwise contraindicated, for a total of 4 cycles.

Following induction treatment, patients who achieved disease controlled (CR+PR+SD) were enrolled for the maintenance chemotherapy: oral etoposide (Bristol-Myers Oncology) administered at 35 mg/m²/day for 21 consecutive days every 28 days plus BSC. The dose of etoposide was reduced by 25-50% for Grade 4 toxicity. Treatment was delayed for 1 week if neutrophils were less than 1500/μl or platelets less than 50000/μl. Maintenance chemotherapy began at the time of day 21-28 from day 1 of the 4th cycle of induction therapy. Maintenance therapy ended when the patients developed one of the pre-specified manifestations for discontinuation, including PD. Patients who discontinued maintenance treatment in the absence of PD continued to receive periodic tumor response evaluation. Once the patient presented with PD, follow up was performed every 90 days until death or the end of study. Information regarding anticancer systemic therapy, radiotherapy, and surgical intervention was also collected after treatment discontinuation. During the study, all patients received standard prophylactic dexamethasone, as specified in the docetaxel label. The whole protocol was approved by the ethics committee of Zhejiang Cancer Hospital, and the study was conducted in accordance with the ethical principles of the Declaration of Helsinki and good clinical practice.

Evaluation of response and Statistics

The survival was assessed using the Kaplan-Meier method. RECIST method was used to evaluate the response rate, and toxicity to chemotherapy was graded according to NCI-CTC version 3. The Kaplan-Meier method was used for the analyses of OS and PFS time-to-event. The Cox proportional hazards model was used to estimate hazard rates (HR) with assigned treatment as the only covariate. Tumor response rate (PR + CR) and disease control rate (CR + PR + SD) were compared between arms using the Fisher's exact test. The tumor response to maintenance therapy was based on the last radiologic assessment before randomization as baseline. The tumor response to whole treatment (induction and maintenance) was based on the radiologic assessment before induction therapy as baseline. Statistical analyses were conducted using the SPSS version 13.0 for windows. A value of *P* less than 0.05 was considered statistically significant.

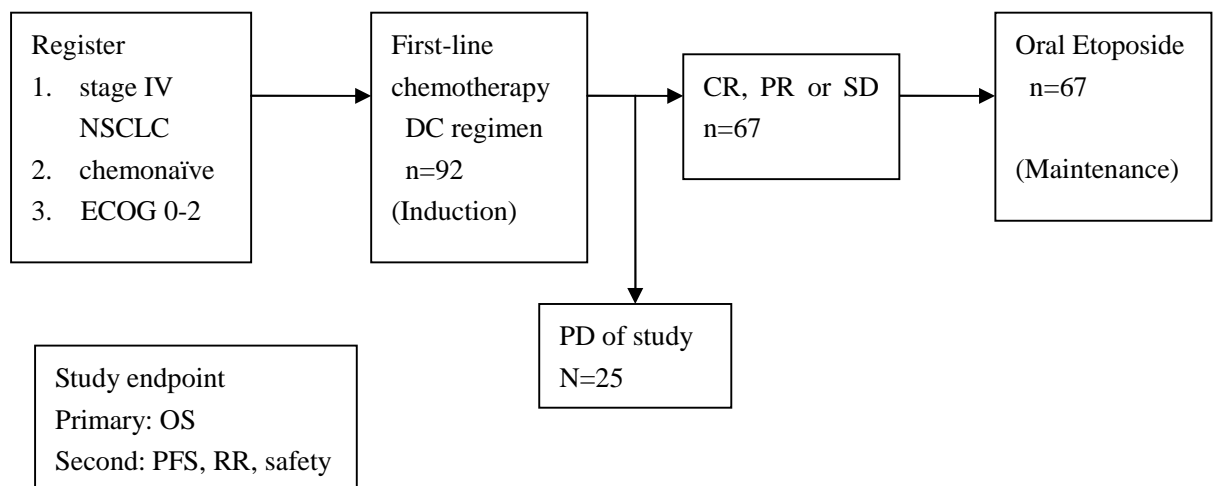


Fig 1. Diagram of Study. Abbreviations: DC = docetaxel/cisplatin. docetaxel (75 mg/m², day 1) plus cisplatin (75 mg/m², day 1). After 4 cycles of induction treatment, patients without PD received oral etoposide for maintenance therapy. CR: complete response; PR, partial response; SD, stable disease; PD, progressive disease; RR: response rate; OS: overall survival; PFS: progression-free survival.

RESULTS

From October 2007 through March 2010, a total 92 patients were treated with DC, and the patients' characteristics are listed in Table 1. A total of 348 cycles of chemotherapy with DC were administered to these patients (median: 4 cycles; range, 1-4 cycles); 77 (83.7%) patients received 4 cycles. The treatment in approximately 90% of cycles was performed at the initial dose of 75 mg/m². Dose modification, mostly due to toxicity, was found in only 5% of cycles. Delayed treatment was noted in 9% of cycles. The treatment was frequently delayed between days 3 and 7. No patient experienced CR, 28 patients (30.4%) had PR, and 39 patients (42.4%) had SD. The overall response rate (ORR) in the induction phase was 30.4%. In addition, 25 patients discontinued the 1st line chemotherapy due to PD (27.2%) (Table 2).

A total 67 patients experiencing disease control (DCR 72.8%) were enrolled for the maintenance chemotherapy with oral etoposide, and their characteristics are shown in Table 3. All received evaluation of tumor response and PFS, and the results are shown in Table 4, for a total of 295 chemotherapy cycles delivered, range from 1 to 12. Twenty one patients (31.3%) were older than 70 years and 5 (7.2%) younger with a poor PS. The mean number of cycles in the etoposide arm was 4.4 cycles. None of the 67 patients (0%) achieved CR. PR was seen in 10 of 67 patients (14.9%), and 45 (67.2%) patients achieved SD. The disease control rate (DCR) was 82.1% (55/67). Figure 1 shows the PFS among these patients. The median PFS was 5 months.

Hematologic and nonhematologic toxicities are listed in Table 5. The most common grade 3 and 4 hematologic toxicities in the first line chemotherapy were neutropenia (25/92, 27.2%), thrombocytopenia (9/92, 9.8%), and anemia (5/92, 5.4%). The most common grade 3 and 4 nonhematologic toxicities in the DC treatment were nausea, vomiting, and alopecia. The most grade 1 and 2 toxicities were neuropathy

and diarrhea.

Toxicities in the maintenance therapy with oral etoposide in the 67 patients are presented in Table 6. The main toxicity was hematologic. Grade I-II hematological toxicity was the most common, and observed in 28 patients (41.8%). Grade I-II asthenia, nausea, vomiting and alopecia were also noted. Grade 3 and 4 leukopenia and thrombocytopenia were observed in 9 patients (13.4%) and 1 patient (1.5%), respectively. No patients experienced grade 3 febrile neutropenia. One case of non-neutropenic fever and three cases of pneumonia were found. These patients recovered upon antibiotic and anti-mycotic treatment. The most common grade 3 and 4 non-hematologic toxicities in the maintenance treatment were fatigue (6.2%) and oral mucositis. There was no observed grade 3 or 4 neuropathy in the maintenance treatment. Toxicity related death was not found.

Table1. Baseline characteristics of 92 patients with metastatic NSCLC in the trial

Patients' characteristics	<i>N</i> (%)
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Total	92 (100%)
Median age (yr)	57 (40-83)
<70	63 (68.5%)
70	29 (31.5%)
Gender	
Male	72 (78.3%)
Female	20 (21.7%)
Smoking status	
Current/former	71 (77.2%)
Never	21 (22.8%)
ECOG performance status	
0-1	58 (63.0%)
2	34 (37.0%)
Histology	
Squamous cell cancer	53 (57.6%)
Adenocarcinoma	36 (39.1%)
Large cell cancer	3 (3.3%)

Table 2 Tumor response after induction chemotherapy with Docetaxel and Cisplatin

Response	n	%
CR	0	0
PR	28	30.4
SD	39	42.4
PD	25	27.2
OR	28	30.4
DCR	67	72.8

CR, complete response; PR, partial response; SD, stable disease; PD, progression of disease; OR, overall response. DCR, disease control rate.

Table3. Baseline characteristics of patients with metastatic NSCLC treated with oral Etoposide

Patient characteristics	N (%)
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Total	58
Median age (yr)	(43-83)
<70	46 (68.7%)
70	21 (31.3%)
Gender	
Male	55 (72.1%)
Female	12 (17.9%)
Smoking status	
Current/former	48 (71.6%)
Never	9 (28.4%)
ECOG performance status	
0-1	40 (59.7%)
2	27 (40.3%)
Histology	
Squamous cell cancer	39 (58.2%)
Adenocarcinoma	25 (37.1%)
Large cell cancer	3 (4.5%)

Table 4 Response assessment after 2 months of treatment with oral etoposide

Response	n	%
CR	0	0
PR	10	14.9
SD	45	67.2
PD	12	17.9
OR	10	14.9
DCR	55	82.1

Table 5 Toxicity to induction chemotherapy according to NCI-CTC grades

Toxicity grade	G 1+2(%)	G 3+4 (%)
Hematologic		
Neutropenia	49(53.3%)	25(27.2%)
Anemia	13(14.1%)	5(5.4%)
Thrombocytopenia	11(12.0%)	9(9.8%)
Non hematologic		
Nausea vomiting	31(33.7%)	10(10.9%)
Diarrhea	20(21.7%)	6(6.5%)
Alopecia	43(46.7%)	47(51.1%)
Stomatitis	26(28.3%)	8(8.7%)
Parathesias	33(35.9%)	5(5.4%)

Table 6 Toxicity to maintenance therapy with oral etoposide according to NCI-CTC

Toxicity Toxicity grade	G 1+2 (%)	G 3+4 (%)
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Hematologic	28 (41.8%)	12 (17.9%)
Neutropenia	20 (29.9%)	9 (13.4%)
Anemia	7 (10.4%)	1 (1.5%)
Thrombocytopenia	6 (9.0%)	0
Non hematologic		
Nausea vomiting	5 (7.5%)	0
Diarrhea	2 (3.0%)	0
Alopecia	7 (10.4%)	0
Stomatitis	14 (20.9%)	12 (18%)
Fatigue	13 (19.4%)	9 (13.5%)

Discussion

Palliative chemotherapy is still the standard first-line treatment for stage IV NSCLC patients with good PS. This generally comprises one of several equally effective cytotoxic doublets, including a platinum analogue combined with either vinorelbine, gemcitabine, taxane, docetaxel or pemetrexed for patients with non-squamous cell cancer (Schiller et al., 2002; Scagliotti et al., 2008). Numerous efforts have been made to improve the efficacy of first-line chemotherapy for the advanced NSCLC. The standard platinum-based doublets have comparable efficacy, but slightly different toxicity profiles (Schiller et al., 2002). Some superior treatment outcomes were associated with specific patients or disease characteristics. In a phase III study in which patients with advanced NSCLC were studied, a preplanned subgroup analysis showed a difference in histological response (Scagliotti et al., 2008). Patients with non-squamous histology had statistically superior overall survival (OS) with cisplatin/pemetrexed than with cisplatin/gemcitabine, which was also supported by additional retrospective analyses in phase II studies (Ohe et al., 2008). The difference in response rate may be related to the level of thymidylate synthase (TS), which is the primary target of the pemetrexed. In different cancers, preclinical data support the hypothesis that over-expression of TS correlates with reduced sensitivity to

pemetrexed (Giovannetti et al., 2005), and high TS expression level was associated with poor outcome of patients treated with antifolates.

Molecular targeted therapy has recently been examined as a means to improve the efficacy of first-line chemotherapy in advanced NSCLC. The epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKI) erlotinib and gefitinib were not shown to improve the efficacy when added to the platinum doublet, regardless of the EGFR status (Giaccone, 2005; Hirsch et al., 2011). However, they are effective, as single agents, for patients with EGFR mutations (Fukuoka and Wu, 2011). Cetuximab and bevacizumab, monoclonal antibodies against EGFR and vascular endothelial growth factor (VEGF), respectively, have shown to improve the survival when added to standard first-line chemotherapy (Pirker et al., 2006; Sandler et al., 2006) .

However, cetuximab is not currently approved for NSCLC treatment, and the toxicity limits the use of bevacizumab in the treatment of non-squamous cancer. Therefore, the platinum-based chemotherapy remains the main treatment of choice for many patients with NSCLC. In addition to the development of novel agents and combinations for the first-line treatment of advanced NSCLC, efforts have focused on the improvement of efficacy and tolerability via altering the treatment schedule. One strategy to improve the outcome is to utilize the maintenance therapy (MT). MT in NSCLC is defined as the continuation of an active treatment until progression of disease (PD) in patients who have demonstrated at least a non-progressing status following the first-line chemotherapy. This concept originates from haemato-oncology and was used in the treatment of NSCLC referring to the immediate therapy after 4-6 cycles of standard first-line (or “induction”) chemotherapy.

“Continued maintenance therapy” is when one or more of the agents from the

first line setting is continued after a fixed number of cycles of first-line therapy, and “switch maintenance therapy” refers to the use of a different drug. It is administered for either a defined number of cycles or until PD. The goals of maintenance therapy are to improve the overall survival (OS), delay the tumor progression, maintain or improve the quality of life and minimize the side effects, especially cumulative toxicities. A reduction in cancer-related symptoms such as anorexia or fatigue should be balanced against the toxicities of maintenance chemotherapy. The cost-benefit ratio is also important. Theoretically, the maintenance therapy has the advantage of allowing patients to continue chemotherapy while the cancer burden is low (compared to treatment after PD). One of the reasons in favor of maintenance therapy is that patients are generally in a better overall condition if therapy is initiated as early as possible. Evidence suggests that, following a platinum-containing induction, maintenance therapy using the non-platinum agent in the induction regimen enables the identification of patients most likely to benefit from the maintenance treatment. One of the phase III studies on the maintenance therapy examined the efficacy of maintenance therapy with gemcitabine following 4 cycles of induction therapy with cisplatin/gemcitabine (Brodowicz et al., 2006). Patients without PD following induction therapy randomly received either gemcitabine or BSC. The median time to PD was significantly longer in patients receiving gemcitabine treatment. Additionally, a significantly longer OS time was found in patients with a high baseline Karnofsky performance status (PS) (>80) who were treated with gemcitabine when compared with those receiving BSC, whereas the difference in survival was not statistically significant between patients with a low baseline PS.

According to the Goldie and Coldman hypothesis, the proportion of chemotherapy-resistant cells in a cancer increases over time. Thus, administration of

new drugs as early as possible after first-line therapy has a potential advantage (Goldie and Coldman, 2008). By using a drug different from those used in the induction regimen for maintenance therapy, patients are exposed to a chemotherapeutic agent with a different pharmacological action. A phase III study compared docetaxel given immediately after 4 cycles of carboplatin/gemcitabine (as a maintenance therapy) with docetaxel administered after PD (as a second-line therapy) (Fidias et al., 2008). Statistically significant improvements in progression-free survival (PFS) and OS were observed in patients who received docetaxel as a maintenance therapy.

Treatment with docetaxel has been found to improve the long term survival of metastatic NSCLC patients; but the median survival is only about 4-5 months. The therapeutic efficacy of this strategy can be improved by additional maintenance therapy, which has recently been investigated in a series of studies. Maintenance chemotherapy of NSCLC can be defined as continuation of an active treatment until PD in patients who have demonstrated in at least a non-progressing status following the first-line chemotherapy, and this therapy continues typically until the occurrence of unacceptable toxicity or PD. First-line chemotherapy is usually limited to 4 cycles. Chemoresistance is common in NSCLC. All NSCLC patients will eventually develop resistance to the chemotherapeutic agents to which they are exposed, even in those with a good initial response, and most patients have to receive two or three line therapy.

In an effort to improve the effectiveness of first-line cisplatin/docetaxel therapy for metastatic NSCLC and to avoid the development of chemoresistance, oral etoposide was used for maintenance chemotherapy immediately following 4 cycles of induction therapy, and whether oral etoposide for maintenance therapy following 4 cycles of cisplatin/docetaxel induction therapy provides an additional benefit on the

efficacy without substantially affecting the safety was investigated in the present study.

Our results show that first-line DC therapy was well tolerated, with myelosuppression being the major cause of toxicities and no patients in the DC phase discontinued the treatment due to the toxicities. The OR in this cohort was (30.4%), with SD in 42.4% of patients. The response and toxicities were consistent with those in previous reports on DC as first line chemotherapy for metastatic NSCLC (Fossella et al., 2003; Chen et al., 2007).

Oral etoposide has been found to be effective in the treatment of a number of cancers (Belani et al., 1987; Williams et al., 1987; Johnson et al., 1991; Loehrer, 1992). The cellular target of etoposide is topoisomerase II, and actively dividing cells are susceptible to etoposide, especially in the S, G2, and M phases. Topoisomerase II is an important enzyme for the DNA repair; thus, inhibition of this enzyme produces synergistic effect when combined with radiotherapy. Etoposide has been administrated to treat local advanced NSCLC while combined with concurrent radiotherapy.

Oral etoposide is not frequently used in patients with metastatic NSCLC. Although the response rate varies from 0 to 48%, and toxicity is low following etoposide treatment, the median survival time (MST) of 5 months was repeatedly confirmed (Waits et al., 1992). Two phase III studies on NSCLC examined the efficacy of a platinum-based induction therapy followed immediately by maintenance therapy with non-platinum agent in the induction regimen. In both studies, patients who continued to receive the non-platinum agent as maintenance therapy had better outcomes than those without maintenance therapy (Fidias et al., 2008; Paz-Ares et al., 2012). The present study showed oral etoposide for maintenance therapy achieved a

median PFS of 5 months, which confirmed the good prognosis of these patients who experienced DCR following first-line chemotherapy. Since all patients had stage IV NSCLC, results obtained in our study are clinically significant. There may be a prolongation of OS, due to a longer duration of effective chemotherapy in the DC. Therefore the optimal treatment sequence and duration of chemotherapy in the DC switching to oral etoposide may have resulted in increased survival. Our results demonstrated a favorable OS and a different toxicity profile in the maintenance chemotherapy with oral etoposide. In addition, the PFS was 5 and 6 months in patients with squamous cell cancer and adenocarcinoma, respectively ($P=0.38$). Moreover, a fraction of patients achieved long-lasting SD and this resulted in a very interesting median time to progression. So it was useful to prolong treatment in those patients with stable disease.

Our results show that the oral etoposide for maintenance chemotherapy had a manageable toxicity profile. In this study, all patients had stage IV NSCLC, and some of them were in low PS and were the elderly, who may adversely influence the outcome, but they well tolerated to the treatment. On the other hand, they were all chemotherapy-naïve and, therefore, likely to experience less multiple drug resistance and achieve better outcome, let alone the low incidence of toxicity when compared with other ‘modern’ chemotherapeutics. Finally, the cost-effectiveness of this strategy in the present study should be clearly emphasized, since even when given alone, new active drugs are more expensive than those in our regimen. On the basis of these encouraging results, evaluation of new regimens using non-cross-resistant agents delivered as maintenance therapy of NSCLC may be warranted in appropriately powered studies. This trial was statistically powered to evaluate the OS benefit of maintenance therapy in advanced NSCLC with notable exception in the delayed

treatment group. Also, they are inferior to those obtained with most combination CT regimens used currently, and oral etoposide alone should, therefore, be recommended for further investigation. Together with mild to moderate toxicity and low cost, it may warrant further studies with large sample size to compare it with other active agents.

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